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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,272	08/01/2001	Murali K. Akkapeddi	36968/206010

CONFIRMATION NO. 5345

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

## FORMALITIES LETTER



\*OC000000007305169\*

Date Mailed: 01/14/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/04/2002 to the Notice to File Missing Parts (Notice) mailed 09/13/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/0-0360

04/0  
03/10

0360

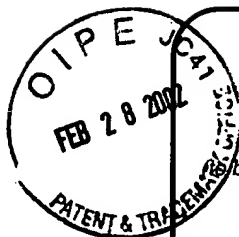
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

To be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> To be used for all correspondence after initial filing)		Application Number	09/921,272
		Filing Date	August 1, 2001
		First Named Inventor	Fingerhut
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	12	Attorney Docket Number	36968/206010

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

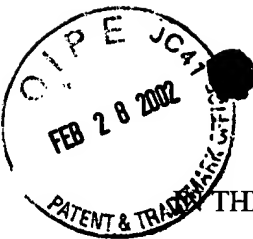
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Nora M. Tocups - Reg. No. 35,717 Kilpatrick Stockton LLP
Signature	<i>Nora M. Tocups</i>
Date	February 13, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 13, 2002			
Typed or printed name	Karen D. Stark		
Signature	<i>Karen D. Stark</i>	Date	February 13, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Howard W. Fingerhut and George She  
Serial No.: 09/921,272  
Filed: August 1, 2001  
For: Methods and Systems for Selective Broadcast Enhancement

Commissioner for Patents  
Washington, D.C. 20231

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**RESPONSE TO NOTICE OF INCOMPLETE REPLY**

Dear Sir:

In response to the Notice of Incomplete Reply mailed January 14, 2002, enclosed are the drawing sheets, Figures 1-6B with appropriate margins, and a Petition for Extension of Time. A copy of the Notice of Incomplete Reply is also enclosed.

Concurrently, a Petition for Refund of Extension of Time Fee and Petition Fee is being filed in this application. The referenced Notice of Incomplete Reply was erroneously issued as explained in the Petition. The Petition for Extension of Time and accompanying fee are submitted under protest.

Respectfully submitted,

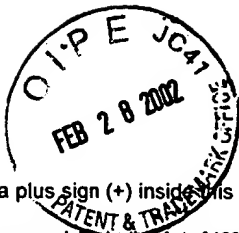
Nora M. Tocups  
Reg. No. 35,717  
Attorney for Assignee

KILPATRICK STOCKTON LLP  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309-4530  
404.815.6213  
Attorney Docket No.: 36968/206010  
BS No. 00186

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CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 13 day of February 2002 with sufficient postage as first-class mail in an envelope addressed Commissioner for Patents, Washington, D.C. 20231.



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/921,272	
	<b>Filing Date</b>	August 1, 2001	
	<b>First Named Inventor</b>	Howard W. Fingerhut	
	<b>Group Art Unit</b>	2661	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	16	<b>Attorney Docket Number</b>	40523/200655-206010

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Nora M. Tocups
Signature	
Date	November 13, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 13, 2001			
Typed or printed name	Nora M. Tocups		
Signature		Date	November 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ENTERED COMPUTER

ON 11/21/2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Howard W. Fingerhut and George She

Serial No.: 09/921,272

Examiner:

Filed: August 1, 2001

Group Art Unit:

For: Methods and Systems for Selective Broadcast Enhancement

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

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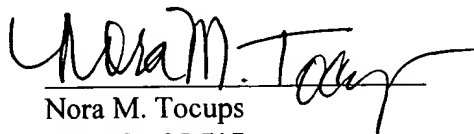
**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on September 13, 2001, enclosed is a copy of the Declaration executed by the inventors, drawing sheets with appropriate margins, and a check for \$840.00 to cover the balance due by the applicant. A copy of the Notice to File Missing Parts of Nonprovisional Application is also enclosed.

The Commissioner is authorized to charge any additional fees which may be due, or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,

  
Nora M. Tocups  
Reg. No. 35,717

KILPATRICK STOCKTON LLP  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309-4530  
404.815.6213  
Attorney Docket No.: 36968/206010

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**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 12 day of November 2001 with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts. Commissioner for Patents. Washington, D.C. 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

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09/921,272	08/01/2001	Murali K. Akkapeddi	36968/206010

CONFIRMATION NO. 5345

## FORMALITIES LETTER



\*OC000000007305169\*

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

Date Mailed: 01/14/2002

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

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**VENDOR # 00511**

**CHECK #: 282117**

## Number of Patents &

**INVOICE DATE****DESCRIPTION**

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**TOTAL**

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206010 Payment of filing fee  
and declaration surcharge

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ACCOUNT	VENDOR	DESCRIPTION
206010		Payment of filing fee and declaration surcharge

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**KILPAI**  
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WACHOWIA

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1100,  
Atlanta, Geor,

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**PAYEE:**  
**G/L #**

KILPATRICK STOCKTON LLP	
DATE: 11-13-01	PAYEE:
#:	G/L # 2000

DATE: 11-13-01  
REF.#:

**TO  
THE  
ORDER  
OF  
Commissioner of Patents &  
Trademarks**

5

06 10000 101 13 159 238

The "Received" stamp of the Patent Office imprinted hereon will acknowledge receipt of:

Applicant:	Fingerhut et al.	Docket No.:
Application No.	09/921,272	
Filing Date	August 1, 2001	36968/206010

**PAPERS SUBMITTED:**

- |    |                                      |
|----|--------------------------------------|
| 1. | Transmittal Form                     |
| 2. | <del>Fee Transmittal Form</del>      |
| 3. | Response to Missing Parts            |
| 4. | Declaration (6 pages)                |
| 5. | Drawings (7 pages)                   |
| 6. | Copy of Notice to File Missing Parts |
| 7. | Check in the amount of \$840         |

By:	Nora M. Tocups, Reg. No. 35,717
Date:	November 13, 2001